



06-22-01

#3 Sector

PTO/SB/21 (12-97)  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/820,465	
	<b>Filing Date</b>	March 28, 2001	
	<b>First Named Inventor</b>	Bays	
	<b>Group Art Unit</b>	2661	
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	16	<b>Attorney Docket Number</b>	6527/53616

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application  <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> To Convert a Provisional Application  <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Small Entity Statement, see below  <input type="checkbox"/> Request of Refund  <b>Remarks</b>	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communications to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):  <input checked="" type="checkbox"/> Other Documents: Inventors' Declaration, Statement under 37 CFR 3.73(b), Request for Correction of Filing Receipt; and, Return Receipt Postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Virginia H. Meyer, Esq., Meyer Intellectual Property Law
Signature	Virginia H Meyer
Date	June 18 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Label No. ET452079055US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 19, 2001			
Typed or printed name	Virginia H. Meyer, Esq., Reg. No. 30089		
Signature	Virginia H Meyer	Date	06/19/2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/820,465	03/28/2001	Robert James Bays	6526/53616

CONFIRMATION NO. 5652

## FORMALITIES LETTER



\*OC000000006177914\*

Virginia H. Meyer, Esq.  
MEYER INTELLECTUAL PROPERTY LAW  
Suite 275  
475 Gate Five Road  
Sausalito, CA 94965

Date Mailed: 06/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

- Total additional claim fee(s) for this application is \$1172.
  - \$342 for 19 total claims over 20.
  - \$560 for 7 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.

06/26/2001	MBERHE	00000093	09820465
01	FC:201		355.00 OP
02	FC:205		65.00 OP
03	FC:203		171.00 OP
04	FC:202		280.00 OP
05	FC:204		135.00 OP

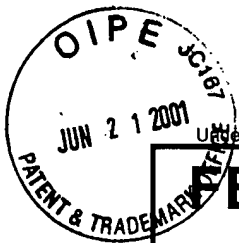
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2012.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/820,465
Filing Date	03/28/2001
First Named Inventor	Bays
Examiner Name	
Group/Art Unit	2661
Attorney Docket No.	6527/53616

TOTAL AMOUNT OF PAYMENT (\$1046.00)

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
- Deposit Account Number: 13-3075
- Deposit Account Name: VIRGINIA H. MEYER
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
2. ☐ Payment Enclosed:
- ☐ Check ☐ Money Order ☒ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$355.00)

### 2. EXTRA CLAIM FEES

		Extra Claims		Fee from below	Fee Paid
Total Claims	39	-20**=	19	X	9 = 171.
Independent Claims	10	-3**=	7	X	40 = 280.
Multiple Dependent					135 = 135.

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20 0
102	80	202	40	Independent claims in excess of 3 0
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$586.00)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$105.00)

## SUBMITTED BY

Name (Print/Type)	Virginia H. Meyer	Registration No. (Attorney/Agent)	30089	Telephone	(415) 289-7471
Signature	Virginia H. Meyer	Date	6-18-2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#3

PATENT APPLICATION  
Attorney Docket: 6527/53616

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Bays et al.

Serial No.: 09/820,465

Filed: March 28, 2001

Title: ***METHODS, APPARATUSES AND SYSTEMS  
FACILITATING DEPLOYMENT, SUPPORT  
AND CONFIGURATION OF NETWORK  
ROUTING POLICIES***

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington D.C. 20231

CERTIFICATE OF MAILING  
BY "EXPRESS MAIL"

"Express Mail" Label No. ET452079055US  
Date of Deposit: June 19, 2001

I hereby certify that this paper and other items referred to herein are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Attention: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

*Virginia H Meyer*

Depositor's Name: Virginia H. Meyer, Esq.

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants hereby request that a corrected filing receipt be issued for the patent application referenced above. The filing receipt received by Applicants' attorney, a copy of which is enclosed, contains an error. The Attorney Docket No. should be:

-- 6527/53616 --

and not "6526/53616" as shown.

The request for correction of the filing receipt is being submitted with Applicants' reply to the "Missing Parts Notice", and Applicants request that all relevant records be changed accordingly.

Respectfully submitted,

*Virginia H Meyer*

Virginia H. Meyer, Esq.  
Registration No. 30089  
Attorney for Applicants

Date: June 19, 2001  
MEYER INTELLECTUAL PROPERTY LAW  
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UNITED STATES PATENT AND TRADEMARK OFFICE



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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/820,465	03/28/2001	2661	0.00	6526/53616	10	37	10

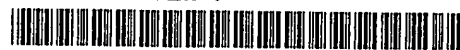
Virginia H. Meyer, Esq.  
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Change  
to  
6527/  
53616



CONFIRMATION NO. 5652

FILING RECEIPT



\*OC000000006177913\*

Date Mailed: 06/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Robert James Bays, San Francisco, CA;  
Bruce Eric Pinsky, San Jose, CA;  
Allan Steven Leinwand, San Francisco, CA;  
Madeline Therese Chan, San Francisco, CA;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted 06/13/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Methods, apparatuses and systems facilitating deployment, support and configuration of network routing policies